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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

Jinglin LI et al

Serial No.: 09/581,897

Filed: October 2, 2000

For: METHOD OF PREPARING  
ENANTIOMERICALLY-ENRICHED  
TETRAHYDROBENZOTHIOPINE

Atty. Docket No.: 61765.00023  
(3163/1/US)

Group Art Unit: 1626

Examiner: E. Sackey

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Copies of the cited references are not submitted herewith, but have been transmitted by the International Bureau. Also enclosed is a copy of the International Search Report for the prior PCT application.

Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application.


It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Applicants believe that no fee is necessary pursuant to 37 C.F.R. § 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Respectfully submitted,

Date: May 2, 2001

By:

  
Joseph M. Skerpon  
Registration No. 29,864

Ajay Pathak  
Registration No. 38,266

Banner & Witcoff, Ltd.  
1001 G Street, N.W.  
Washington, D. C. 20001-4597  
(202) 508-9100  
JMS:AP:lab

Serial No. 10/072,600

Atty. Dkt. No. 161765.00465  
(S0-3163/1/US/DIV)

I, Applicants have re-introduced claims 4 and 5 as new claims 65 and 66, respectively, to prosecute all the Group I claims at the same time. Since support for claims 65 and 66 is found in original claims 4 and 5, no new matter is introduced.


Prompt and favorable consideration on the merits is respectfully requested.

**Information Disclosure Statement**

Under their duty of disclosure, Applicants hereby submit a photocopy of the Information Disclosure Statements submitted in the parent application (Application No. 09/581,897; now U.S. Patent No. 6,369,220; courtesy copy enclosed) for consideration and entry of the cited references into the file of the above-identified divisional application. Please note that the Applicants have not provided photocopies of the cited references previously submitted in the parent application. Applicants respectfully direct the Examiner to the parent application for copies of those references.

Respectfully submitted,

Date: June 6, 2002

  
Ajay Pathak  
Registration No. 38, 266

Attachment:

Copy of Notice to File Missing Parts of Application  
Information Disclosure Statement from parent application dated May 2, 2001 and Form PTO-1449  
Supplemental Information Disclosure Statement from parent application dated October 25, 2001 and Form PTO-1449  
U.S. Patent No. 6,369,220 B1

BANNER & WITCOFF, LTD.  
1001 G Street, N.W.  
11<sup>th</sup> Floor  
Washington, D.C. 2001  
(202) 508-9100 (general office line)  
(202) 508-9187 (direct line for Mr. Pathak)  
(202) 508-9299 (office fax line)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Jinglin LI et al.**

Serial No.: 09/581,897

Filed: October 2, 2000

For: METHOD OF PREPARING ENANTIOMERICALLY-ENRICHED  
TETRAHYDROBENZOTHIOPINE OXIDES

Atty. Docket No.: 61765.00023  
(3163/1/US)

Group Art Unit: 1626

Examiner: E. Sackey

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 C.F.R. §§ 1.56(a), 1.97 and 1.98 *et seq.*, Applicants submit herewith the attached Form PTO 1449. Applicants have submitted each of the references cited on attached Form PTO 1449 with this paper. Applicants respectfully request that the Examiner consider and enter all the documents cited on the enclosed Form PTO 1449 into the file of the above-identified application. Applicants also request an indication of the same by return of the Form PTO 1449 being initialed and dated by the Examiner.

Please charge the fee of \$180.00 as required by 37 C.F.R. § 1.17(p) to Deposit Account No. 19-0733. No additional fees are believed due to ensure consideration of the attached documents by the Examiner. However, if any additional fees are required or an overpayment of fees made, the Commissioner is hereby authorized to debit or credit our Deposit Account No. 19-0733, as necessary.

Respectfully submitted,



Ajay Pathak  
Reg. No. 38,266

Dated: October 25, 2001

Banner & Witcoff, LTD.  
Eleventh Floor  
1001 G Street, N.W.  
Washington, D. C. 20001-4597  
(202) 508-9100

AP/pw  
Attachment: Form PTO 1449